JC03 Rec'd PCT/PTO 2.0 SEP 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER T		ATTORNEY'S DOCKET NUMBER 2271/75152						
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION OF THE WILLIAM 37 CFR 1.5)						
PCT/JP2004/003970	INTERNATIONAL FILING DATE 23 March 2004	PRIORITY DATE CLAIMED 28 March 2003						
TITLE OF INVENTION IMAGE COMPRESSION DEVICE	: AND METHOD							
APPLICANT(S) FOR DO/EO/US Yukio KADOWAKI								
	States Designated/Elected Office (DO/EC	O/US) the following items and other information:						
1. X This is a FIRST submission of items	concerning a submission under 35 U.S.C. 371	l.						
2. This is a SECOND or SUBSEQUENT	submission of items concerning a submissio	n under 35 U.S.C. 371.						
This is an express request to begin n (5), (6), (9) and (21) indicated below	ational examination procedures (35 U.S.C. 37	1(f)). The submission must include items						
4. The US has been elected (Article 31)).	•						
A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
a. 🗴 is attached hereto (requir	ed only if not communicated by the Internation	nal Bureau).						
b. has been communicated	by the International Bureau.							
c. is not required, as the ap	plication was filed in the United States Receiv	ing Office (RO/US).						
6. An English language translation of	the International Application as filed (35 U.S.C	C. 371(c)(2)).						
a. is attached hereto.								
b. has been previously sub-	mitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the Ir	nternational Application under PCT Article 19	(35 U.S.C. 371(c)(3))						
a. are attached hereto (rec	uired only if not communicated by the Interna	tional Bureau).						
b. have been communicate	ed by the International Bureau.							
c. have not been made; ho	c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. have not been made an	d. have not been made and will not be made.							
8. An English language translation of	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the invent	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. An English language translation of Article 36 (35 U.S.C. 371(c)(5)).	the annexes of the International Preliminary E	Examination Report under PCT						
Items 11 to 20 below concern document	t(s) or information included:							
11. X An Information Disclosure Stateme	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. X An assignment document for record	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.		•						
14. An Application Data Sheet under 3	An Application Data Sheet under 37 CFR 1.76.							
15. A substitute specification.								
16. X A power of attorney and/or change	A power of attorney and/or change of address letter.							
17. A computer-readable form of the se	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18. A second copy of the published Inte	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19. A second copy of the English langu	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 07-2005)
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U.S. APPLICATION NO. (IF 1995 498 3 INTERNATIONAL APPLICATION NO. 3970				ATTORNEY'S DOCKET NUMBER 2271/75152		
DPCT/JP2004/003970						
20. Othe	r items or informati	^{on:} See att	ached Page 4.			_
		9				
The fo	llowing fees have b	een submitted		· · · · · · · · · · · · · · · · · · ·	CALCULATIONS	PTO USE ONLY
	_		•	\$300	\$ 300.00	1
22. X Exa	mination fee (37 Cl	FR 1.492(c))	<u> </u>		300.00	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations\$200					\$ 200.00	
If the written opi IPEA/US Search fee (37 (Internati International Sea previous	S indicates all clain CFR 1.445(a)(2)) h ional Searching Au arch Report prepar ly communicated to	or the Internation as satisfy provision as been paid on athority red by an ISA oth to the US by the II	nal preliminary examination repons of PCT Article 33(1)-(4) the international application to er than the US and provided to B	\$0 the USPTO as an\$100 o the Office or\$400	\$ 500.00	
TOTAL OF 21, 22 and 23 =				\$1,000.00		
sequence electron	ee for specification ce listing in complia ic medium) (37 CF	and drawings file ance with 37 CFF R 1.492(j)).	ed in paper over 100 sheets (e R 1.821(c) or (e) or computer p ts of paper or fraction thereof.	xcluding rogram listing in an	×	
Total Sheets	Extra Sheets	tra Sheets Number of each additional 50 or fraction thereof (round up to a whole number)		RATE		
108 100 =	8 /50 =		1	x \$250	\$ 250.00	1
			ch fee, examination fee, or the ge (37 CFR 1.492(h)).	oath or declaration	\$	
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$	
Total claims	3	24 - 20 =	4	x \$ 50	\$ 200.00	
Independent cla	ims	4 -3=	1	× \$200	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ ₀	
TOTAL OF ABOVE CALCULATIONS =				\$ 650.00		
Applicant cl	aims small entity s	tatus. See 37 CF	R 1.27. Fees above are reduc	ced by 1/2.		
				SUBTOTAL =	\$	
	of \$130.00 for furni date (37 CFR 1.49		translation later than 30 mon	ths from the earliest +	\$	
			TOTAL	L NATIONAL FEE =	\$ 1,650.00	
			R 1.21(h)). The assignment mu \$40.00 per property	ist be accompanied +	\$ 40.00	
TOTAL FEES ENCLOSED =			\$ 1,690.00			
					Amount to be	1 .
					refunded: Amount to be	\$

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A check in the amount of \$1,690.00to cover the above fees is enclosed. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-3125. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Customer Number 23432 Paul Teng Ivan S. Kavrukov, Esq. NAME Cooper & Dunham LLP 1185 Avenue of the Americas 40,837 New York, New York 10036 REGISTRATION NUMBER Tel.: 212-278-0400

JC05 Rec'd PCT/PTO 20 SEP 2005 10/549823

Dkt. 2271/75152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Yukio KADOWAKI

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2004/003970

Date Filed

Concurrently Herewith

Int'l Filing Date: 23 March 2004

For: IMAGE COMPRESSION DEVICE AND METHOD

Page 4 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

20. Other items or information:

Copies of the following--

- cover page of PCT Publication No. WO 2004/088973 A1;
- PCT Request;
- Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration;
- Written Opinion Of The International Searching Authority;
- Notification Concerning Submission Or Transmittal Of Priority Document;
- First Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Do Not Apply The 30 Month Time Limit Under Articles 22(1)]; and
- Second And Supplementary Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Apply The 30 Month Time Limit Under Articles 22(1)].

JC05 Rec'd PCT/PTO 20 SEP 2009

Dkt. 2271/75152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 49823

Application of:

Yukio KADOWAKI

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2004/003970

Date Filed

Concurrently Herewith

Int'l Filing Date: 23 March 2004

For:

IMAGE COMPRESSION DEVICE AND METHOD

Mail Stop PCT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTN: DO/EO/US

Sir:

EXPRESS MAIL LETTER OF TRANSMITTAL

Express Mail mailing label number: EV553661097US

Date of Deposit: September 20, 2005

I hereby certify that the above-identified new application, consisting of four pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [including 90-page specification with claims 1-24, Abstract and 18 sheets of formal drawings (Figs. 1A-19)], Declaration And Power Of Attorney (3 pages), Assignment and Recordation Cover Sheet (3 pages total), Information Disclosure Statement (including Form PTO-1449 and copies of references), cover page of PCT Publication No. WO 2004/088973 A1, PCT Request, Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration, Written Opinion Of The International Searching Authority, Notification Concerning Submission Or Transmittal Of Priority Document, First Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Do Not Apply The 30 Month Time Limit Under Articles 22(1)], Second And Supplementary Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Apply The 30 Month Time Limit Under Articles 22(1)], a check in the amount of \$1,690.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.

Mail Room Employee

Depositing Express Mail Material

Attorneys for Applicant: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036 Tel: (212) 278-0400